



PRIVACY NOTICE

FACTS

What does Moloney do with your personal information?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and investment experience
- Income and risk tolerance
- Assets and account transactions

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share **customers'** personal information to run their everyday business. In the section below, we list the reasons financial companies can share their **customers'** personal information; the reasons **Moloney** chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Moloney Share	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

Questions?

Call 800-628-6002 or go to www.moseco.com



Who we are

Who is providing this notice?

Moloney Securities Co., Inc. is a registered broker-dealer as well as an insurance general agent. Moloney Securities Asset Management, LLC is a registered investment adviser.

What we do

How does Moloney protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Access to personal information is granted to our registered associates and home office associates only to provide investments and services to customers or to serve another legitimate business need.

How does Moloney collect my personal information?

We collect your personal information, for example, when you

- Open an account or give us your contact information
- Seek advice about your investments or tell us about your investment or retirement portfolio
- Enter into an investment advisory contract

Why can't I limit all sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Moloney Securities Co., Inc. and Moloney Securities Asset Management, LLC are wholly owned subsidiaries of Briar Green Holdings, Inc.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Moloney does not share with non-affiliates so they can market to you.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Our joint marketing partners include companies which offer financial products and services through Moloney*

Other important information

If you have an independent representative with Moloney who leaves or is terminated from Moloney and who joins another financial institution, the representative may retain copies of your personal information so that he or she can continue to serve and service your account at the new firm. Your representative may share your information with the new firm but is otherwise required to keep confidential the personal information obtained from you while your representative was affiliated with Moloney, and he or she may use such personal information only to service your account(s). Please Note: In the event of your representative leaving or being terminated, certain states may require affirmative consent to allow sharing.